

EXPRESS MAIL CERTIFICATE

Date 3/24/00Label No. 62503340832

I hereby certify that, on the date indicated above I deposited this paper or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner of Patents & Trademarks, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.



Name (Print)



Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00
OR CREDIT ANY EXCESS IN FUTURE FEES DUE
WITH RESPECT TO THIS APPLICATION TO OUR
DEPOSIT ACCOUNT NO. 04-0100

JC571 U.S. PTO
09/537372
03/24/00

DARBY & DARBY P.C.

805 Third Avenue
New York, New York 10022
212-527-7700

File No: 2902/OG377

Date: March 24, 2000

Hon. Commissioner of
Patents and Trademarks
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): **Lloyd A. GROVEMAN and Richard L. ZACK**

Title: **ACTIVE ACCOUNT MANAGEMENT USING VOLATILITY ARBITRAGE**

including the items indicated:

1. Specification (18 pages) and 32 claims: 2 indep. ; 30 dep.; _ multiple dep. (7 pages) and abstract (1 page)
2. ☒ Declaration and power of attorney
3. ☒ Formal drawings, 4 sheets (Figs. 1-4)
4. ☐ Assignment for recording to:
5. ☒ Verified Statement Claiming Small Entity Status
6. ☒ Check in the amount of \$453.00, (\$453.00 filing; \$ recording)
(See attached Fee Computation Sheet)

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7. ☐ Preliminary Amendment.

8. ☐ Please amend the description by inserting the following paragraph after the line containing the title on page 1: "This patent application claims the priority of U.S. provisional patent application No. 60/_____, which is incorporated herein by reference."

Priority is claimed for this application, corresponding application/s having been filed as follows:

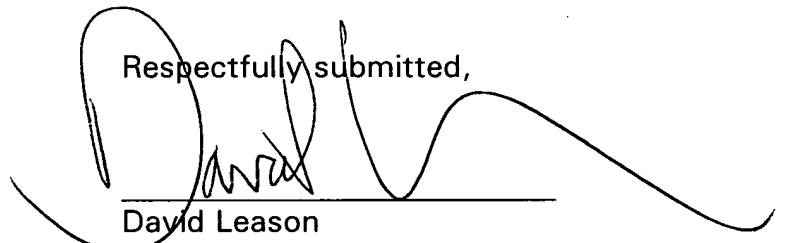
Country:

Number:

Date:

The priority documents ☐ are enclosed
☐ will follow.

Respectfully submitted,



David Leason

Reg. No. 36,195

Attorney for Applicant(s)

(D&DForms/PTO-1)

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$690.00
Design Application				\$310.00
Plant Application				\$480.00
Total Claims	32 - 20	- =	12 x \$18.00	\$216.00
Independent Claims	2 - 3	- =	0 x \$78.00	\$
Multiple Dependent Claims		x- if so, add	\$260.00	\$
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$
SUBTOTAL				\$906.00
[X] Small Entity REDUCTION (Half of Subtotal)				\$453.00
Fee for recordation of assignment (\$40.00)				\$
Charge for filing non-English language application (\$130.00)				\$
TOTAL				\$453.00